

Advance research directives for dementia research

What do affected people think?
A German interview study

Julia Perry, M.A. & Dr. Karin Jongsma

Dept. of Medical Ethics and History of Medicine
University Medical Center Göttingen

December 8, 2020

International Online Symposium
Dementia Prediction and Risk Reduction:
Socio-cultural Insights, Ethical Reflections and Future Developments

Background

- Research with persons with dementia
 - Important to better understand causes of dementia and to develop more effective diagnostics, therapies, and risk reducing measures
 - Difficulties due to cognitive decline in the course of dementia and potential lack of (full) capacity
 - International legal standard including consent of legal representative has flaws (Kim et al. 2013; Wendler 2011; Livingston 2010)
- Advance research directives (ARDs) can give competent individuals opportunity to express preferences for research participation for later stage of incapacity (Andorno et al. 2016; Jongsma & van de Vathorst 2015)
 - Despite regulated use in some countries, uptake remains considerably low (Ries et al. 2020; Bravo 2016; Muthappan 2005)

German (legal) context and need for empirical study

- Nov. 2016: Changes to the German Medicinal Products Act (MPA)
 - Research with “group benefit”
 - Condition: Drafting of ARD
- Little knowledge about perspectives of those affected
- Our study: Focus on how people with subjective or mild cognitive impairment assess the introduction of ARDs

Methods

- Semi-structured interviews: 24 persons with cognitive impairment (SCI/MCI)
 - (1) How do you assess the introduction of ARDs?
 - (2) Should anyone besides you be involved in drafting an ARD?
 - (3) What is a good time to draft an ARD?
 - (4) What would assist you in drafting an ARD?
- Participants:
 - aged between 45 and 85 years
 - even distribution of gender
- Interviews lasted between 16 and 80 minutes; $\bar{\varnothing}$ 42 minutes
- Thematic content analysis assisted by the scientific software Atlas.ti™

Results:

a) General Attitudes

- Positive attitudes towards ARDs
 - Instrument for making own decisions regarding consenting to or vetoing research participation
 - Importance of helping others by participating in research / value of scientific research
- Negative or ambivalent attitudes due to difficulty of making anticipated decisions

Results:

b) Necessary Conditions

Who should be involved?

- Ability to fill out ARD own their own
- Desire to discuss with a clinician
- Researchers conducting specific research
- Children/partners

When is a good time?

- When one is still healthy
- Shortly after receiving diagnosis / at time of diagnosis
- Necessity to make information more widely available

What preconditions should be fulfilled by health providers?

- Trust building / no conflict of interest
- Sufficient time and medical expertise
- Combination of template and safeguarding through representative

Results:

c) Remaining Worries

- Fear of not being able to withdraw from research
- Fear of abuse in research or of insufficient protection through ARDs
- Concern whether physicians and other staff will be trained sufficiently to deal with ARDs

Practical recommendations

- Need for expertise and training of treating physicians concerning dementia research
- Need for time to talk about anticipated course of the disease and its implications
- Need for development of standardized template with space for individualized wishes and adaptations
- Need for additional safeguards to be in place
- Need for spread of information on ARDs and provision of practical support
- Need for studies testing motivational approaches taking cultural differences into account

Thank you!

Contact:

julia.perry@medizin.uni-goettingen.de



Funded by:

Forschungsförderungsprogramm der UMG



References

Andorno R, Gennet E, Jongsma K, Elger B. Integrating Advance Research Directives into the European Legal Framework. *Eur J Health Law*. 2016;23(2):158-173. doi:10.1163/15718093-12341380

Bravo G, Trottier L, Dubois M-F et al. Does promoting research advance planning in a general elderly population enhance completion of a research directive and proxies' predictive ability? a randomized controlled trial, *AJOB Empir Bioeth*. 2016;7(3):183-192.

Deutscher Bundestag. Viertes Gesetz zur Änderung arzneimittelrechtlicher und anderer Vorschriften vom 20. Dezember 2016 (BGBl Teil I Nr. 63, S 3048)

European Parliament and Council Regulation on clinical trials on medicinal products for human use, and repealing Directive 2001/20/ EC. 2014. https://ec.europa.eu/health/sites/health/files/eudralex/vol-1/reg_2014_536/reg_2014_536_en.pdf Accessed December 3, 2020

Jongsma KR, van de Vathorst S. Beyond competence: advance directives in dementia research. *Monash Bioeth Rev*. 2015;33(2-3):167-180. doi:10.1007/s40592-015-0034-y

Kim SYK, Kim M, Ryan KA, et al. How important is accuracy of surrogate decision-making for research participation? *Plos One* 2013;8(1):e54790-e54790

Livingston G, Leavey G, Manela M, et al. (2010) Making decisions for people with dementia who lack capacity: qualitative study of family carers in UK. *British Medical Journal* 341:c4184

Muthappan P, Forster H, Wendler D. Research advance directives: protection or obstacle? *Am J Psychiatry*. 2005;162:2389-2391.

Ries NM, Mansfield E, Sanson-Fisher R. Advance Research Directives: Legal and Ethical Issues and Insights from a National Survey of Dementia Researchers in Australia. *Med Law Rev*. 2020 May 1;28(2):375-400. doi: 10.1093/medlaw/fwaa003. PMID: 32259243.

Wendler D, Rid A. Systematic review: The effects on surrogates of making treatment decisions for others. *Ann Intern Med*. 2011;154:336-346.

World Medical Association (2013) Declaration of Helsinki on Ethical Principles for Medical Research Involving Human Subjects, as amended by the 64th WMA General Assembly, Fortaleza, Brazil. <http://www.wma.net/en/30publications/10policies/b3/> Accessed December 3, 2020

Participant demographics

Characteristic	No.
Gender	
<i>Male</i>	11
<i>Female</i>	13
<i>Prefer not to say</i>	0
Age in years	
<60	10
60-74	9
>74	5
Educational level	
<i>High school/practical education</i>	18
<i>College</i>	2
<i>Postgraduate education</i>	4
Diagnosis	
<i>MCI</i>	8
<i>SCI</i>	11
<i>Prefer not to share/not sure</i>	5